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**Application Number** 10/663,506 Filing Date September 15, 2003 TRANSMITTAL First Named Inventor M. Ashraf et al FORM Group Art Unit (to be used for all correspondence after initial filing) **Examiner Name Attorney Docket Number** AM-101106USA Total Number of Pages in this Submission **ENCLOSURES** (check all that apply) Fee Transmittal Form Drawing(s) ☐ After Allowance Communication to Group Fee Attached Licensing-related Papers Appeal Communication to Board of Appeals and Interferences Petition Amendment/Reply Appeal Communication to Group Petition to Convert to a After Final (Appeal Notice, Brief, Reply Brief) **Provisional Application** Affidavits/declaration(s) Proprietary Information Power of Attorney, Revocation Change of Correspondence L Extension of Time Request Status Letter Address Express Abandonment Request Other Enclosure(s) (please Terminal Disclaimer identify below): Information Disclosure Statement Request for Refund 30-References 3-pages of form PTO/SB/08A/B Certified Copy of Priority CD, Number of CD(s) Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT HOWSON AND HOWSON Firm Cathy A. Kodroff Individual Name Signature December 4, 2003 Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date: Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

Date

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## AM-101106USA

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of	) Group Art Unit:
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M. Ashraf et al	) Examiner:
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Appln. No. 10/663,506	)
T' 1 G . 1 15 2002	)
Filed: September 15, 2003	?
For: ORAL FORMULATIONS	) December 4, 2003
Commissioner for Patents	
PO Box 1450	
Alexandria, VA 22313-1450	

## INFORMATION DISCLOSURE STATEMENT

Sir:

Applicant submits to the Examiner the attached Form PTO/SB/08A/B document listing and this paper pursuant to 37 CFR § 1.56 and § 1.97-1.98. Form PTO/SB/08A/B is attached and a copy of the documents are enclosed herewith. This Information Disclosure Statement is submitted within three months from the filing date of this application and before the receipt of a first Office Action on the merits. Therefore, no fees are believed due.

The Director is hereby authorized to charge any deficiency in any fees due with the filing of this paper or credit any overpayment in any fees to our Deposit Account Number 08-3040.

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## **REMARKS**

Listed below are previously filed, co-pending, related U.S. patent applications. These applications and the present application are commonly owned: Copies of the applications and claims are enclosed.

- (1) U.S. Patent Application No. 60/499789, filed September 3, 2003
- (2) U.S. Patent Application No. 10/626,943, filed July 25, 2003

The Examiner is respectfully requested to consider the enclosed documents identified in this paper and in the attached Form PTO/SB/08A/B during the course of examination of this application.

Respectfully submitted,

HOWSON AND HOWSON Attorneys for Applicant

Cathy A. Kodroff

Registration No. 33,980

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Substitute for form 1449/PTO						Application Number 10			10/6	10/663,506				
information disclosure						Filing Date S			Septe	ember 15, 20	03			
STATEMENT BY APPLICANT						First	Named Inventor		M. A	shraf et al	-			
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	R	EP	05	25960	Bi		03-20-1996			American Home Prod Corporation		Appear		
Examiner Signature						***		Date	idered					

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Application Number 10			10/6	10/663,506			
					Filing Date			September 15, 2003				
					First Named Inventor			M. A	Ashraf et al			
(Use as many sheets as necessary)						Art Unit						
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Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.14. this collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETE FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.



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Substitute for form 1449/PTO		Complete if Known				
		Application Number	10/663506			
information disclosure				Filing Date	September 15, 2003	
Statement by applicant				First Named Inventor	M. Ashraf et al	
(Use as many sheets as necessary)		Group Art Unit				
				Examiner Name		
Sheet	3	of	3	Attorney Docket Number	AM-101106USA	

Examiner Initials*	Cite No.1	Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item, (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), columeousse number(s), publisher, city and/or country where published	T <sup>2</sup>
	X	STARUCH ET AL, FK506 and Rapamycin Inhibit Murine T Cell Activation Through Different Mechanisms, The FASEB Journal, Vol. 3, No. 3 3411, (1989)	
	Y	SEHGAL ET AL, Rapamycin (AY-22, 989), A New Antifungal Antibiotic, II. Fermentation, Isolation and Characterization, The Journal of Antibiotics, Vol. XXVIII No. 10, pp. 727-732 (October 1975)	
	Z	VEZINA ET AL, Rapamycin (AY-22,989), A New Antifungal Antibiotic I. Taxonomy of the Producing Streptomycete and Isolation of the Active Principle, The Journal of Antibiotics, Vol. XXVIII No. 10, pp. 721-726 (October 1975)	
	AA	BAKER ET AL, Rapamycin (AY-22,989), A New Antifungal Antibiotic, III. In Vitro and In Vivo Evaluation, The Journal of Antibiotics, Vol. XXXI, No. 6, pp. 539-545, (June 1978)	
	BB	MARTEL ET AL, Inhibition of the Immune Response by Rapamycin, a New Antifungal Antibiotic, Can. J. Physiol. Pharmacol. Vol.55, pp. 48-51 (1977)	

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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance \* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.14. this collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETE FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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